

# OS in (Older) Icelandic and Faroese: What does it tell us?

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### Purpose and organization of the talk

#### **Purpose:**

- To broaden the data base of the discussion of Scandinavian OS by adding some (previously unknown or disregarded) details about (Older) Icelandic and Faroese
- Reevaluate some claims about OS in the light of these (partially new) data

#### **Organization:**

- NPOS in (Older) Icelandic revisited
- NPOS in Faroese revisited
- Some concluding remarks





#### The standard view:

- Modern Icelandic has NPOS and PronOS whereas Mainland Scandinavian typically only has PronOS.
- It would seem promising to relate this somehow to the obvious morphological differences between MI on the one hand and MSc on the other (cf. e.g. Holmberg and Platzack 1995).

#### A puzzle (or at least an apparent one):

- Why doesn't (the morphologically rich) Old Norse seem to have NPOS (cf. Mason 1999, Haugan 2000, Sundquist 2002)?
- Why doesn't (the morphologically rich) Modern Faroese seem to have NPOS (cf. Barnes 1992, Vikner 1994, Holmberg and Platzack 1995, Thráinsson 2007, 2010; 2012 [2004]:245–246, etc.).



### Some data sources

Mörkuð íslensk málheild 'A tagged corpus of Icelandic' (responsible: Sigrún Helgadóttir, ÁM Institute, <u>sigruhel@hi.is</u>).

#### **21st century Icelandic** (17.692.940 words):

- 154 books (6.786.611 words)
- Morgunblaðið (a newspaper) 2002–2008 (5.840.345 words)
- *Fréttablaðið* (a newspaper), 18 issues 2002–2007 (580.595 words)
- News (manuscripts, radio and TV) 2000–2006 (314.203 words)
- Vísindavefurinn (short "scholarly" articles on the Internet from 39 scholars (1.952.344 words)
- Blog texts, Summer 2006 (different bloggers, 2.218.842)

#### Old Icelandic (1.659.285 words, freely accessible):

44 Icelandic sagas, most of them written in the 14th and 15th century

#### 20th century Icelandic (approx. 500.000 words, freely accessible):

• Excerpts of 100 texts of different kinds from 1980–1989 (about 5000 Hösku words from each) used for a frequency dictionary 1991

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## Data sources, 2

#### Icelandic Parsed Historical Corpus (IcePaHC)

(responsible: Joel Wallenberg, Anton Karl Ingason, Einar Freyr Sigurðsson, Eiríkur Rögnvaldsson): 1.002.361 words

approx. 100.000 word samples/century 12<sup>th</sup> –21<sup>st</sup> century (some 80.000 narrative + 20.000 religious texts, cf. Rögnvaldsson et al. 2011:144):

	nar	rel	bio	sci	law	Total
12 <sup>th</sup>	O	40871	0	4439	0	45310
13 <sup>th</sup>	93463	21196	0	0	6183	120842
14 <sup>th</sup>	77370	21315	0	0	0	98685
15 <sup>th</sup>	111560	0	0	0	0	111560
16 <sup>th</sup>	35733	60464	0	0	0	96197
$17^{th}$	46281	28134	52997	0	0	127412
$18^{th}$	63322	22963	22099	0	0	108384
$19^{\mathrm{th}}$	100362	20370	0	3268	0	124000
$20^{\text{th}}$	103921	21234	0	0	0	125155
21st	43102					43102
Total	675114	236547	75096	7707	6183	1000647





#### **Faroese data:**

- Informant work when working on Faroese An Overview and Reference Grammar (Thráinsson et al. 2012 [2004], henceforth FORG).
- Informant work in connection with the Scandinavian Dialect Syntax (ScanDiaSyn) project and NORMS (Nordic Center of Excellence in Microcomparative Syntax), including some large scale surveys (cf. Thráinsson 2010).



## NPOS in Old(er) Icelandic

Mason (1999): one expl. of NPOS in her Old Norse corpus (she thought she had found two (she had 198 tokens of DOs); Sundquist (2002:333) points out that one was misanalyzed):

(1) at hann gefi **þeim manni** <u>aldri</u> fríun, er myrðan hefir ... that he give that man never peace, that murdered has ...

As Holmberg and Platzack (H&P) point out (1995:172, cf. also Sundquist 2002:332–333), this kind of NPOS is also found in "Norwegian and some varieties of Swedish" (although not in Danish and in other varieties of Swedish, whatever that means):

(2) De ga **Marit** <u>ikke</u> blomstene. they gave Marit not the-flowers



## NPOS in Old(er) Icelandic, 2

#### Haugan (2000, section 4.3):

 I have not been able to find Old Norse examples with both a sentence adverbial and a shifted (full) NP, which might be due to my searching method.

#### Sundquist (2002:333) concludes:

 "Thus other analyses of Old Norse agree with the results here: full DP object shift is not an option in earlier stages of Mainland Scandinavian."



### NPOS in Old(er) Icelandic, 3

#### Rögnvaldsson and Helgadóttir (2011):

- Found (at least) 9 examples of genuine NPOS in the Tagged Corpus of Old Icelandic (cf. slide 4), including:
- (3) a Nú leita þeir um skóginn og finna Gísla eigi now search they through the-wood and find Gísli(A) not b er hann dræpi Þórð eigi og förunauta hans that he killed Thord(A) not and companions his
- One could also look for ECM-examples and causatives etc. (cf. Holmberg 1986: , Vikner 2005: section 3.3):
- (4) a [Skeggi] lét **Eið** <u>bó</u> **ráða**. Skeggi let Eidur(A) nevertheless decide
  - b honum þykir **Vémundur** <u>jafnan</u> **valda** því er illa er him(D) seems Vemundur(N) always cause that which bad is



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## Frequency of NPOS in Icelandic

#### **Question:**

 Aren't 9 unambiguous examples of NPOS in 44 Icelandic sagas (over 1.6 million words) just a negligible numer?

#### **Searching IcePaHC:**

 Searching for full NPOS examples with negation or the adverb aldrei 'never' (this is what previous studies typically looked for) throughout the centuries (approx. 100.000 words/century):

	12 <sup>th</sup>	13 <sup>th</sup>	14 <sup>th</sup>	15 <sup>th</sup>	16 <sup>th</sup>	17 <sup>th</sup>	18 <sup>th</sup>	19 <sup>th</sup>	20 <sup>th</sup>	21 <sup>th</sup>
NPOS:	1	0	0	1	0	2	0	1	1	0

#### **Conclusion:**

NPOS is very rare in written texts throughout!

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## NPOS in cePaHC

#### The **examples**:

- (5)a Þeir finna **Guð** <u>aldregi</u>. (Homilies, 1150) they find God(A) never
  - b honum gekk **Ketilríður** <u>aldrei</u> úr hug (Vigl. 1400) him(D) went Ketilridur(N) never from mind
  - c úr því sá ég **Níels** ... <u>aldrei</u> meir (Indíafari 1661) from that saw I Niels(A) never again
  - d elskum vér **Guð** <u>ekki</u> fullkomlega sem skyldum (Gerhard 1630) love we God(A) not completely as should
  - e [þær] fóru **Guðmundi** <u>ekki</u> sem best (Piltur 1850) they suited Gudmundur(D) not as best
  - f ég sá **þessa menn** <u>ekki</u> aftur (Margsaga 1985)
    - I saw these men(A) not again



### More on NPOS in Modern Icelandic

#### The Frequency Dictionary Corpus ( $\approx 500.000$ words):

- some 5 examples of NPOS w. the negation or aldrei,
   18 corresponding ones with the reverse order (Adv Obj.)
- (6)a Fleira gerðist nú ekki og ég sá **þessa menn** <u>ekki</u> aftur more happened now not and I saw these men not again
  - b rík tilhneiging hjá dómstólum að rífa **börn** <u>ekki</u> úr ... rich tendency with courts to remove children not from
  - c Gerlach taldi **Íslendingum** <u>ekki</u> alls varnað Gerlach believed Icelanders not of-everything prevented ('no good')
  - d En Týri lét **þessi ummæli** <u>ekki</u> spilla gleði sinni but Tyri let these remarks not spoil gladness his
  - e En það kom aldrei neinn. but there came never anybody Bergbúarnir sóttu **matinn** <u>aldrei</u> fyrr en hún the-cliff-dwellers fetched the-food never until she

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### More on NPOS in Modern Icel., 2

Proposals about the semantics of OS (and Scrambling) — two possible formulations:

- A: The shifted NPs have a **definite/specific/strong** or [-focus] reading (weaker formulation)
- B: Objects that have a **definite/specific/strong** or [**-focus**] reading must shift (if syntactically possible) (stronger formulation)

see e.g. the overviews in Thráinsson (2001:188ff., 2007:75ff.) and Vikner (2005: section 5) and refs. cited there (cf. also the refs. below to work by de Hoop, Diesing, Jelinek, Vikner (1997 and later), Engels, Holmberg (1999), Erteschik-Shir, Andréasson, etc.).

The examples of shifted NPs in (6) would seem consistent with this.



### More on NPOS in Modern Icel., 3

#### Examples of non-shifted objects in the corpus:

- (7)a Ég sá <u>ekki</u> **eyrun**.

  I saw not the-ears
  - b Passaðu bara að týna <u>ekki</u> **húslyklinum**. take-care just to lose not the-housekey
  - c Peir þoldu <u>ekki</u> **spennuna**. they stood not the-pressure
  - d [ég] hata allt kerfi þjóðfélagsins ... ég les <u>aldrei</u> **blöðin**.
    I hate all system the-society's I read never the-papers
- (8)a framlengja frestinn en [þeir] ... virða ekki framlenginguna extend the-deadline but they respect not the-extension
  - b eignast annað [barn] ... Nei. Ég læt ekki barnið mitt frá mér have another child no I give not the-child my from me
  - c á sama hátt og Guð. Við sjáum ekki Guð af því að ... in same way as God we see not God because ...

All equally consistent with the stronger proposal?



#### More on NPOS in Modern Icel., 4

#### More on the semantics:

- The examples in (9) are unambiguous (in the relevant sense) the ones in (10) not (cf. Thráinsson 2001:193, 2007:78–79):
- (9)a Ég las **þrjár bækur** <u>ekki</u>.

  I read three books not ('There are 3 specific books ...')
  b Þau sýna **viðtöl við Obama** alltaf klukkan 11.
  - b Þau sýna **viðtöl við Obama** <u>alltaf</u> klukkan 11. they show interviews w. O. always clock 11 ('Whenever there are interviews with O. they ...')
- (10)a Ég las <u>ekki</u> **þrjár bækur**.

  I read not three books ('It is not true that…'/ 'There are 3 …')
  - b Pau sýna <u>alltaf</u> **viðtöl við Obama** klukkan 11. they show always interviews with O. clock 11 ('Whenever ther are ...' / 'It is always the case that ...')

#### Engels and Vikner (1997:9) on an unattested language:

• A language in which weak pronouns move obligatorily while movement of defocused complex phrases is optional.





#### Some evidence for "the Faroese puzzle" (lack of NPOS):

Reports in the literature:

- (11)a Jógvan keypti <u>ikki</u> **bókina**.
   Jogvan bought not the-book(A)
   b \*Jógvan keypti **bókina** <u>ikki</u>. (Barnes 1992:28)
- (12)a Jógvan kennir <u>ikki</u> **Siggu**. Jogvan knows not Sigga(A)
  - b \*Jógvan kennir **Siggu** <u>ikki</u>. (H&P 1995:172)
- (13)a Zakaris hjálpti <u>aldri</u> **Hjalmari**. Zakaris helped never Hjalmar(D)
  - b ?\*Zakaris hjálpti **Hjalmari** <u>aldri</u>. (FORG:245)



## \*NPOS in Faroese, 2

#### A variation survey (a part of ScanDiaSyn and NORMS):

A written survey where the subjects were asked to check one of three boxes as defined below (cf. Thráinsson 2009, 2010):

sagt.

		Ja	?	Nei	Viðmerkingar
F4	Tað hevur eitt par dansað í garðinum.				



## \*NPOS in Faroese, 3

 Some results of the (first) survey (a pilot study — no significant diffs. between age groups nor the 6 places visited):

Table 1: Acceptance of NPOS and comparable examples.

#	Example	yes	?	no	=	N
1a	Jens hjálpti <u>aldri</u> <b>Zakaris</b> .	87.1	2.9	10.0	100%	240
	Jens helped never Zakaris(D)					
1b	Jens hjálpti <b>Zakaris</b> <u>aldri</u> .	5.3	8.7	86.0	100%	229
2a	Hann át <u>ikki</u> <b>matpakkan</b> .	94.3	1.6	4.1	100%	243
	he ate not the-lunch-pack(A)					
2b	Hann drakk <b>mjólkina</b> <u>ikki</u> .	2.9	5.9	91.2	100%	239
	he drank the-milk(A) not					
4	Zakaris hjálpti <b>honum</b> <u>ongantíð</u> .	91.8	3.7	4.5	100%	242
	Zakaris helped him(D) never					

#### \*NPOS in Faroese: Weak m-case?

#### A proposal to solve the Faroese puzzle:

NPOS is only possible in languages with rich (and strong)
morphological case (m-case), e.g. Icelandic, but Faroese has
weak(er) m-case "which does not sufice to provide a DP with
inherent Case value (in the sense discussed)" (H&P 1995:173)

First piece of evidence: lack of case preservation in the Faroese passive (cf. also FORG:266ff.):

```
(14)a Þeir hjálpuðu honum. (Ice.) they helped him(D)
```

- b **Honum** var hjálpað. / \***Hann** varð hjálpaður. him(D) was helped(n.sg.) / he(N) was helped(m.sg.)
- (15)a Tey hjálptu **honum**. (Far.) they helped him(D)
  - b \*Honum varð hjálpt. / Hann varð hjálptur. him(D) was helped(n.sg.) / he(N) was helped(m.sg.)

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## \*NPOS in Faroese: Weak m-case?, 2

#### A problem with the case non-preservation argument:

- m-case sometimes has to be preserved in Far. passives (cf. FORG:267, Thráinsson 2009:4):
- (16)a Teir takkaðu **honum**. they thanked him(D)
  - b **Honum** varð/bleiv takkað. / \***Hann** varð/bleiv takkaður. him(D) was thanked(n.sg.)/ he(N) was thanked (m.sg.)
- (17)a Tey trúðu **henni** ongantíð. they believed her(D) perhaps never
  - b **Henni** varð ongantíð trúð. / \***Hon** varð ongantíð trúð. she(D) was never believed / her(N) was never believed

But this lexical variation is obviously a puzzle in itself!



### \*NPOS in Faroese: Weak m-case?, 3

Second piece of evidence: (apparent) lack of casepreservation in the ECM-construction (H&P 1995:173):

- (18)a **Mér** líkar mjólkin. (Ice)
  - me(D) likes the-milk(N)
  - b Hann telur **mér** líka mjólkin. he believes me(D) like the-milk(N)
- (19)a **Mær** dámar mjólkina. (Far) me(D) likes the-milk(A)
  - b Hann heldur **meg** dáma mjólkina. he believes me(A) like the-milk(A)



## \*NPOS in Faroese: Weak m-case?, 4

A problem with the ECM-argument (cf. also Thráinsson 2009:4–5):

- Many speakers allow either Nom or Dat subjects with dáma 'like' and some other verbs. For such speakers the following should hold (i.e. preservation of Dat subject or substitution of Acc for Nom):
- (20)a **Mær** dámar/**Eg** dámi mjólkina. me(D) likes / I(N) like the-milk(A)
  - b Hann heldur mær/meg dáma mjólkina. he believes me(D/A) like the-milk(A)

This predicts correctly that if a verb exclusively takes a Dat subject, then only Dat will be possible in the ECM-construction:

- (21)a **Mær** hóvar/\***Eg** hóvi hetta best. me(D) likes / I(N) like this best
  - b Hann heldur mær/\*meg hóva hetta best. he believes me(D/\*A) like this best



#### Another proposal to solve the Faroese puzzle:

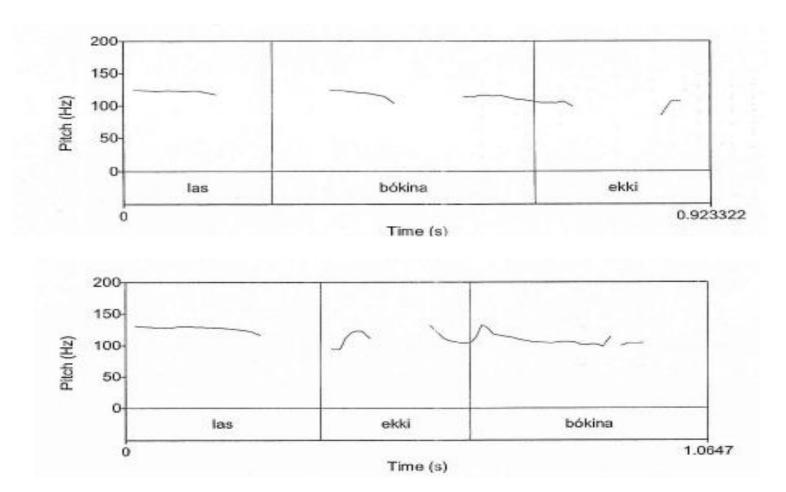
 OS is phonological in nature. The reason Faroese differs from Icelandic w.r.t. the possibility of having NPOS is because of a crucial difference in the stress and intonation system of the two languages, especially in compounds (Erteschik-Shir 2010:78–80).

#### First part of the argument:

In PronOS the weak pronoun typically "prosodically incorporates" (PIs) into the verb, hence can (or must) precede the adverb. In Icelandic constructions with a full NP object and an adverb "the negative adverb and the object form a prosodic unit in both orders", i.e. either as Obj-Adv (shifted) or Adv-Obj (unshifted).



E-S's evidence for the PI in Icelandic Obj-Adv/Adv-Obj constructions:



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E-S's stress pattern (2010:79) for Icelandic object constructions after PI (cf. her (64)):

```
(22)a Jón las ˈbókina+ˌekki
Jon read the-book not
b Jón las ˈekki+ˌbókina
Jon read not the-book
```

#### Problem:

•While (22a) (and the corresponding diagram on the preceding slide) is probably correct, (22b) (cf. also the corresponding diagram) is not the normal way of saying this (although possible if one stresses *ekki*). The normal way would be to PI the adverbinto the verb (as in Danish, according to E-S).



Second part of the argument (cf. E-S 2010:79–80):

 "Having initial stress [like Icelandic — and Faroese for the most part] might enable a language to tolerate a longer sequence of syllables without primary stress ... thus allowing for longer prosodically incorporated strings." Hence the possibility of PI of full NPs and adverbs in NPOS in Icelandic. But this might not be possible in Faroese because in Faroese there is a productive rule of stress shift onto the second element of the compound, witness the stress pattern of certain compounds borrowed from Danish (E-S cites Arnason 1996 on this).



Problem: This characterization of the Faroese stress and intonation system is not accurate:

- Although Faroese is somewhat more "liberal" in its stress pattern than Icelandic, the basic rules are the same:
  - 1. the stress alternation rule: initial stress with some stress on odd numbered syllables (cf. FORG:28, Árnason 2011:90)
  - 2. the compound stress rule: secondary stress on the second part of a compound noun (Lockwood 1955:8, FORG:28, Árnason 2011:275ff.)

Sometimes these rules clash and then it may vary which one wins out. But Faroese is much more liberal than Icelandic in preserving foreign stress patterns in loanwords (cf. FORG:29–30), although it sometimes "chooses its own pattern" (Árnason 2011:281).



Faroese allows a **large number of stress patterns** in compounds, such as the following (where 3 = primary stress, 2 = secondary stress, 1 = no stress, cf. FORG:28). Compounding is productive. Given this, it is not very likely that PI of NP and Adv in NPOS "would be unpronunceable" in Faroese, as suggested by E-S (2010:80) any more than in Icelandic (where the patterns are similar):

- a. bisyllabic part + monosyllabic part, pattern 3-1-2:
- b. two bisyllabic parts, 3-1-2-1:
- c. bisyllabic + trisyllabic, 3-1-2-1-2:
- d. monosyllabic + monosyllabic, 3-2:
- e. monosyllabic + bisyllabic, 3-2-1:
- f. trisyllabic + monosyllabic, 3-1-1-2:
- g. trisyllabic + bisyllabic, 3-1-1-2-1:

meitilberg 'steep rock wall'

grindahvalur 'pilot whale'

meitilberginum'the steep rock wall (D)'

*Island* 'Iceland'

*islendskur* 'Icelandic'

kjallaradyr 'cellar door'

kjallarabúgvi 'cellar dweller'

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#### **Conclusion:**

 No really convincing proposals have been put forward explaining the apparent ungrammaticality of NPOS in Faroese.

#### A possibility:

 Maybe NPOS is possible in Faroese (just as it was in Old Norse). We just haven't looked for it in the right places or provided the right context.



## <sup>?√</sup>NPOS in Faroese

#### Holmberg's original insight (1986:222-223):

"Raising to Object" in Scandinavian is Object Shift (H's judgm.):

```
(22)a Dom anser honom alla vara dum. (Sw) they consider him(A) all be(inf.) stupid
b *Dom anser Gunnar alla vara dum. they consider Gunnar all be(inf.) stupid
c Dom anser alla honom/Gunnar vara dum. they believe all him(A) /Gunnar be(inf.) stupid
```

allir vera heimskan. (23)a Þeir telja hann (Icel) they consider him(A) all(N) be(inf.) stupid(A) Harald Þeir telja allir vera heimskan Harold(A) all(N) be(inf.) stupid they consider Þeir telja ??hann/Harald vera heimskan. allir they consider all(N) him(A)/Harold/A) be(inf.) stupid

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## <sup>?√</sup>NPOS in Faroese, 2

This pattern extends to causative verbs and perception verbs (cf. Vikner 2005: section 3.3; the following examples based on his and his judgments):

- (24)a Pétur sá **þá** <u>áreiðanlega</u> vinna Hauka. (Icel) Peter saw them undoubtedly beat(inf.) Haukar(A) b Pétur sá **Val** <u>áreiðanlega</u> vinna Hauka. Peter saw Valur(A) undoubtedly beat(inf.) Haukar(A)
  - c Pétur sá <u>áreiðanlega</u> \***þá/Val** vinna Hauka. Peter saw undoubtedly them(A)/Valur(A) beat(inf.) Haukar(A)
- (25)a Peter så **dem** <u>formentlig</u> slå FC København. (Da) Peter saw them(A) presumably beat(inf.) FC Copenhagen.
  - b \*Peter så AGF formentlig slå FC København. Peter saw AGF presumably beat(inf.) FC Copenhagen.
  - c Peter så <u>formentlig</u> \*dem/AGF slå FC København. Peter saw presumably them(A)/AGF beat(inf.) FC Copenhagen.



## <sup>?√</sup>NPOS in Faroese, 3

#### ECM/causative/perception constructions in Faroese:

- (26)a Eg haldi gentuna vera úrmæling. (FORG:313) I believe the-girl(A) be(inf.) genius(A)
  - b Hann sá hana leypa yvir um gøtuna. he saw her(A) run(inf.) over the-street
  - c Vit hoyrdu hana syngja. we heard her(A) sing(inf.)
  - d Hon læt hann vaska upp. she made him(A) wash up
- (27)a <sup>√ / √</sup> Eg helt **gentuna** <u>ongantíð</u> vera úrmæling.
  I believed the-girl never be(inf.) genius(A)
  - b  $^{\sqrt{?}}$  Vit sóu **drongin** ongantíð leypa yvir um gøtuna.
  - we saw the-boy(A) never run(inf.) across the-street c <sup>1/\*</sup> Vit hoyrdu **flogfarið** ikki koma.
    - we heard the-plane(A) not come(inf.)
  - d <sup>V/?</sup>Hon læt **sonin** <u>altíð</u> vaska upp. she made the-son(A) always wash up

(Judgments from two speakers.)

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## <sup>?</sup>√NPOS in Faroese, 4

#### The speakers consulted agree that:

- It is more natural in examples like the ones in (27) to have the NP follow the adverb (i.e., unshifted full NP is more natural than shifted)
- Examples like the ones in (27) would be fine with a pronoun instead of the full NP (PronOS)
- A pronoun can follow the adverb in sentences like the ones in (27) if it is emphasized but not if it is unstressed (i.e., shifting unstressed pronouns is obligatory in this context)
- But NPOS-examples also improve with stress on the shifted NP:
- (28) Hon læt **SONIN** <u>altíð</u> koyra bilin, ongantíð dóttrina. she made the-son(A) always drive the-car, never the-daughter(A)





#### Some things to test further in Faroese:

- How real is this apparent difference between "simple NPOS" and NPOS in more complex structures (ECM, causative constructions, perception verbs)?
- What is the extent of the speaker variation observed here —
  and what is it related to (V-to-I, TEC ...?, cf. proposals in many
  of the refs. cited above, also Richards 2007)?
- How, or to what extent, do stress and intonation influence the acceptability of NPOS?
- Do NPOS examples improve in the typical NPOS-context (old information, non-focus ...)?



## Some concluding remarks

- The non-attestation of full NPOS in a given corpus need not mean that NPOS was "not an option" for the speakers (writers) involved since NPOS is quite rare even in Modern Icelandic prose.
- While shifted NP-objects in NPOS in Icelandic can only have the "strong/definite/specific/non-focus ...", unshifted NP objects are ambiguous in this respect.
- Since proposed accounts of the (apparent) ungrammaticality of NPOS in Faroese do not seem to work so well, one obvious alternative is to look more carefully for NPOS in Faroese and study its nature. It turns out that some speakers accept some instances of it. But this needs to be investigated in more detail.



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